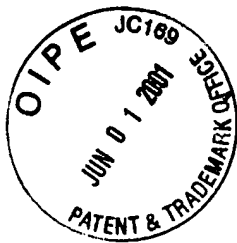


2131



PATENT  
Attorney Docket No. 96-3-512CON1CIP1

**CERTIFICATE OF MAILING UNDER 37 CFR § 1.8(a)**

I hereby certify that this Information Disclosure Statement and the documents referred to as enclosed therein are being deposited with the United States Postal Service on this date, 5/29/01, with sufficient postage as first class mail addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231. #3

Mary E. Anza  
(typed or printed name of person mailing paper)

Mary E. Anza  
(Signature of person mailing paper)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

W. David Shambroom

Serial No: 09/741,103

Filed: 12/21/00

For: METHOD FOR PROVIDING  
SIMULTANEOUS PARALLEL  
SECURE COMMAND  
EXECUTION ON MULTIPLE  
REMOTE HOSTS

Group Art Unit: 2131

Examiner: Unassigned

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

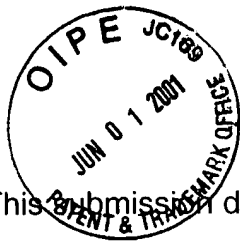
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**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 C.F.R. § 1.97(b)**

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), applicant brings to the attention of the Examiner the documents listed on the attached PTO 1449. Copies of the available documents are attached.



This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in the application and applicant determines that the cited documents do not constitute "prior art" under United States law, applicant reserves the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

Applicant further reserves the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

This Information Disclosure Statement is being filed before receipt of a first Office Action on the merits for the above-referenced application. Should a first action on the merits have been issued on the same day or before this Information Disclosure Statement is filed, please accept this Information Disclosure Statement under Rule 97(c) and charge the requisite Rule 17(p) fee to our Deposit Account No. 07-2339 and proceed to consider this Information Disclosure Statement.

Respectfully submitted,

By: James K. Weixel  
James K. Weixel  
Reg. No. 44,399

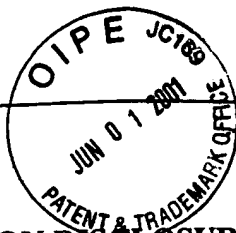
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**INFORMATION DISCLOSURE CITATION**  
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**Atty. Docket No.**  
 96-3-512CON1CIP1

**Serial N .**  
 09/741,103

**Applicant**  
 W. David Shambroom

**Filing Date**  
 12/21/00

**Group** 2131

**U.S. PATENT DOCUMENTS**

*Examiner Initial	Document Number	Date	Name	Sub Classes	Filing Date Class	If Appropriate
	5,590,199	12/31/96	Krajewski, Jr. et al.	25, 4, 23, 21	380	10/12/93
	5,511,122	4/23/96	Atkinson	23, 25, 30, 4, 49, 21	380	6/3/94
	5,349,643	9/20/94	Cox et al.	25, 4, 49	380	5/10/93
	5,313,521	5/17/94	Torii et al.	25	380	4/15/92
	5,416,842	5/16/95	Aziz	25	380	6/10/94
	5,604,803	2/18/97	Aziz	25	380	6/3/94
	5,768,504	6/16/98	Kells et al.	25	380	7/15/97
	5,764,687	6/9/98	Easton	23	380	6/20/95

**FOREIGN PATENT DOCUMENTS**

Document Number	Date	Country	Class	Sub Class	Translation Yes	No

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

Freier, Alan O., et al., The SSL Protocol, Version 3.0, March 4, 1996.

Kohl, J. and Neuman, C., The Kerberos Network Authentication Service (V5), Sept. 1993.

Schneier, Bruce, Applied Cryptography, 2nd ed. (1996), pgs. 566-72.

Steiner, Jennifer G., et al., "Kerberos: An Authentication Service for Open Network Systems," March 30, 1988

 Kohl, John T., et al., "The Evolution of the Kerberos Authentication Service," Spring 1991  
 EurOpen Conference, Tromso, Norway

**Examiner**
**Date Considered**

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

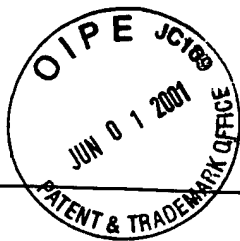
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	Gradient Technologies, Inc., Web Integration Strategies: Believe It Or Not-Gradient Technologies' WebCrusader, April 1996, pp. 1-12
	Gradient Technologies, Inc., Developing Secure Web-based Java Applications, The Integration of Web Crusader and Net Dynamics, May 1997, pp. 1-16
	Gradient Technologies, Inc., Encryption Security In the Enterprise, Public Key/Secret Key, January 1997, pp. 1-20
	InformationWeek, Spinning A Secure Web, August 12, 1996 (4 pages)
	Gradient Technologies, Inc., NetCrusader Product Data Sheet, NetCrusader's Distributed Services Product Line, March 1997 (4 pages)
	Gradient Technologies, Inc., NetCrusader Product Family Overview, March 1997 (4 pages)
	Gradient Technologies, Inc., NetCrusader Product Data Sheet, NetCrusader Commander, March 1997 (4 pages)
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	Gradient Technologies, Inc., Web-based Applications Make the Grade at Penn State University, 1996 (2 pages)

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## Applicant

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## U.S. PATENT DOCUMENTS

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## FOREIGN PATENT DOCUMENTS

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## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	MIT, Kerberos V5 Installation Guide (Release beta 7), Sept. 11, 1996.
	MIT, Kerberos V5 System Administrator's Guide (Release beta 7), Sept. 10, 1996.
	MIT, Kerberos V5 UNIX User's Guide (Release beta 7), Sept. 10, 1996.
	MIT, Kerberos V5 Application Programming Library, Sept. 10, 1996.
	MIT, Kerberos V5 Data Encryption Standard Library draft, p. 1.
	MIT, Kerberos V5 Implementer's Guide, Sept. 10, 1996.
	Jaspan, Barry, Kerberos Administration System KADM5 API Functional Specifications, Sept. 10, 1996.
	Jaspan, Barry, KADM5 Library and Server Implementation Design, Sept. 10, 1996.

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	Applicant  W. David Shambroom	
	Filing Date 12/21/00	Group 2131

## U.S. PATENT DOCUMENTS

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## FOREIGN PATENT DOCUMENTS

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	Kamens, Jonathan I., KADM5 Admin API Unit Test Description, Sept. 10, 1996.
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	MIT, Kerberos V5 Installation Guide (Release 1.0) Dec. 18, 1996.
	MIT, Kerberos V5 System Administrator's Guide (Release 1.0), Nov. 27, 1996.
	MIT, Kerberos V5 UNIX User's Guide (Release 1.0), Dec. 18, 1996.
	MIT, Upgrading to Kerberos V5 from Kerberos V4 (Release 1.0), Dec. 18, 1996

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